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PTO/SB/21 (09-04)

**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

6

Application Number

09/998,914

Filing Date

November 30, 2001

First Named Inventor

Richard P. Sinn

Art Unit

2131

Examiner Name

Christian A. Laforgia

Attorney Docket Number

21756-013600

**ENCLOSURES (Check all that apply)**☐

Fee Transmittal Form

☐

Fee Attached

☐

Amendment/Reply

☐

After Final

☐

Affidavits/declaration(s)

☐

Extension of Time Request

☐

Express Abandonment Request

☐

Information Disclosure Statement

☐

Certified Copy of Priority Document(s)

☐

Reply to Missing Parts/ Incomplete Application

☐

Reply to Missing Parts under 37 CFR 1.52 or 1.53

☐

Drawing(s)

☐

Licensing-related Papers

☒

Petition To Director of Tech Center 2100 under 37 CFR 1.181 (3 pages) with enclosure (2 pages)

☐

Petition to Convert to a Provisional Application

☐

Power of Attorney, Revocation Change of Correspondence Address

☐

Terminal Disclaimer

☐

Request for Refund

☐

CD, Number of CD(s) \_\_\_\_\_

☐

Landscape Table on CD

☐

After Allowance Communication to TC

☐

Appeal Communication to Board of Appeals and Interferences

☐

Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)

☐

Proprietary Information

☐

Status Letter

☒

Other Enclosure(s) (please identify below):

Return Postcard

Remarks

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**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name

Townsend and Townsend and Crew LLP

Signature

Printed name

William J. Daley

Date

March 29, 2006

Reg. No.

52,471

**CERTIFICATE OF TRANSMISSION/MAILING**

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Sara B. McPeak

Date

March 29, 2006



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*Sara B. McPeak*

PATENT  
Docket No.: 21756-013600  
Client Ref. No.: OID-2005-162-12

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Richard P. Sinn

Application No.: 09/998,914

Filed: November 30, 2001

For: OBTAINING AND MAINTAINING  
REAL TIME CERTIFICATE STATUS

Customer No. 51206

Confirmation No. 4276

Examiner: Christian A. Laforgia

Art Unit: 2131

PETITION TO DIRECTOR OF  
TECHNICAL CENTER 2100 UNDER 37  
C.F.R. § 1.181

**Mail Stop AF**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In response to the Final Office Action mailed January 30, 2006 on the above-referenced application, this Petition to the Director of Technical Center 2100 under 37 C.F.R. §1.181 is being filed contemporaneously with an Amendment Under 37 C.F.R. §1.116 Expedited Procedure Examining Group 2131. Applicant respectfully submits that a Requirement for Information under 37 C.F.R. 1.105 in the Final Office Action mailed January 30, 2006 is improper and therefore requests that the Requirement be withdrawn.

The Final Office Action has made a Requirement for Information under 37 C.F.R. §1.105 regarding five (5) references (the "SiteMinder documents") originally cited in an Information Disclosure Statement filed by the Applicants on March 12, 2004. More

specifically, the Requirement for Information as stated in the Final Office Action requests, because of the voluminous nature of the references, an explanation of the references. Furthermore, the Final Office Action states that the references have been "placed in the application file, but the information referred to therein has not been considered."

However, the first Office Action dated July 14, 2005 included a copy of the Applicant's Information Disclosure Statement in which the SiteMinder documents were cited. In this copy, dated July 8, 2005, the SiteMinder documents were initialed and the Information Disclosure Statement was signed by the Examiner indicating that the references had in fact been considered. Attached is a copy of the Information Disclosure Statement filed by the Applicant, signed and dated by the Examiner July 8, 2005, wherein the Examiner had initialed all of the five (5) SiteMinder documents.

Additionally, as stated in MPEP §704.11(b):

"A requirement may be made at any time once the necessity for it is recognized and should be made at the earliest opportunity after the necessity is recognized. **The optimum time for making a requirement is prior to or with a first action on the merits** because the examiner has the maximum opportunity to consider and apply the response. Ordinarily, **a request for information should not be made with or after a final rejection.**" [emphasis added]

Applicant respectfully argues that if there was a necessity for such information it should have been recognized prior to issuance of the first Office Action and therefore the Requirement for Information should have been made in the first Office Action. Rather, the first Office Action included a signed copy of the Information Disclosure Statement filed by the Applicant with the SiteMinder references initialed indicating that the references had in fact been considered even without the newly required information and in spite of the voluminous nature of the references. Therefore, the Applicant believes that the Requirement for Information is improper and should be withdrawn.

Richard P. Sinn  
Application No. 09/998,914  
Page 3

Furthermore, Applicant respectfully argues that the Requirement for Information is not proper as it is made in the Final Office Action. Regarding a Requirement for Information made with or after a final rejection, MPEP §704.11(b) goes on to state:

**"A requirement for information is not proper when no further action would be taken by the examiner. The reasonable necessity criteria for a requirement for information implies further action by the examiner. This means that actions in which requirements for information necessary for examination are made should generally be a non-final action because the applicant's reply must be considered and applied as appropriate."** [emphasis added]

For at least these reasons, Applicant believes that the Requirement for Information is improper. Therefore, Applicant respectfully requests withdrawal of this Requirement for Information.

The Commissioner is authorized to deduct any Fee for this Petition to Director from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

#### CONCLUSION

If the Director believes a telephone conference would expedite prosecution of this application, please telephone the undersigned at: 303-571-4000.

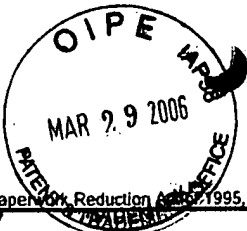
Respectfully submitted,



William J. Daley  
Reg. No. 52,471

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WJD:sbm

Enclosure  
60734746 v1



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PTO/SB/08B (08-03)

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number	09/998,914
Filing Date	November 30, 2004
First Named Inventor	Sinn
Art Unit	2182
Examiner Name	
Attorney Docket Number	OBLX-01039US0

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Technology Center 2100

Sheet	1	of	2
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### NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
CH		LEON, McAfee's NetTools Promises to Ease Network Desktop Diagnosis, InfoWorld, San Mateo, 7/24/1995, Vol. 17, Iss. 30, p. 53.	
CH		COONEY, IBM rolls out host- and server-based mgmt. apps, Network World, Framingham, 2/6/1995, Vol. 12, Iss. 6, pp. 6-7.	
CH		WALSH, Remedy releases three applications for help-desk suite, InfoWorld, San Mateo, 4/21/1997, Vol. 19, Iss. 16, p. 34.	
CH		SCHMERSAL, Testing to maintain service standards, Communications News, Nokomis, Mar. 1998, Vol. 35, Iss. 3, pp. 22-23.	
CH		MUSTHALER, The trouble with help desk selection, Network World, Framingham, Feb. 20, 1995, Vol. 12, Iss. 8, pp. 35-39.	
CH		Clear Trust, Unified Access Management, Securant Technologies, Inc., pp. 1-23, 1997.	
CH		SiteMinder Agent Operations, Version 4.0, Netegrity Inc., 1997	
CH		SiteMinder Deployment Guide, Version 4.0, Netegrity Inc., 1997	
CH		SiteMinder Policy Server Operations Guide, Version 4.0, Netegrity Inc., 1997	
CH		SiteMinder Developer's API Guide, Version 4.0, Netegrity Inc., 1997	

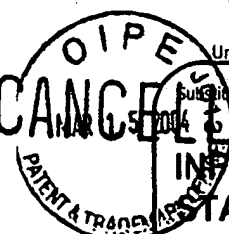
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Examiner Signature		Date Considered	7/8/05
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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[illegible]

**Examiner  
Signature**

Date  
Considered

7/8/08

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